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 B1, No. 210, Section 3, Chengde Road, Datong District, Taipei City, Taiwan
 Yuanta Futures Co., Ltd. Agent for stock affairs: Yuanta Securities Co., Ltd. Agent For Stock Affairs Section
 Telephone: (02)-2586-5859 (Special line to tender services)
 Website: <http://www.yuanta.com.tw>

No gift will be distributed in the general shareholders' meeting

※※COVID-19 precautionary measures※※
 Due to the COVID -19 pandemic, we encourage our shareholders to use the "Stock Vote" function to exercise their voting rights.



Domestic postage Prepaid
 Taipei Postal Office Permit No. (Taipei)-999
 Domestic mail letter

Ordinary	
Prompt	
Registered	

Contains meeting notice, open at once

Attn.: Shareholder
 Securities code: 6023 (697)

The personal information collected by the Agent For Stock Affairs Section is to be processed and utilized within the scope of stock affairs and will be duly archived within the time limit as set forth under the laws and ordinances or agreements which concern it/them. Please feel free to contact the Agent For Stock Affairs Section whenever you intend to exercise the shareholders' equity.



This year's shareholder meeting will be a hybrid one. Online participants will attend through the e-Meeting Platform (<https://www.stockvote.com.tw>) run by the Taiwan Depository Clearing Corporation (TDCC). Please read the second slip for relevant directions and operating instructions.

112-1

697 Yuanta Futures Co., Ltd. 2023 Annual General meeting of shareholders Attendance Card
Place: 13F., No.27, Section 1, Anhe Rd., Da'an Dist., Taipei City (Conference Room of the Chinese National Futures Association) Time: 9 a.m., May 24, 2023 (Wednesday)
※ When attending a meeting of shareholders, shareholders, solicitors, proxy agents, and representatives shall carry the original copy of an identity document with a photo for examination. Institutional shareholders represented by a representative shall submit a power of attorney duly sealed by the institutional shareholder.
Shareholder's account No.: Shareholder's or proxy's name: Number of shares held:
※ A shareholder who signs on both Attendance Card and the proxy is deemed as intending to attend the meeting in person. A shareholder who hands over the proxy to his or her solicitor(s) or proxy is deemed as intending to participate in the meeting through his or her proxy.
Signature or seal for personal attendance

Attendance record Number:

Proxy Attendance Card	Proxy (shareholder)	Serial #	Sign/Seal	
I. I hereby appoint _____ (the name must be written personally by the Principal, and cannot use stamps) as proxy to attend the company's annual general meeting held on May 24, 2023. The proxy shall exercise my rights as a shareholder to the following motions in the specified manner. <input type="checkbox"/> (1) Exercise shareholder's equity matters on my behalf according to the agenda of the shareholders meeting (Discretionary). <input type="checkbox"/> (2) Exercise the rights and proxy opinions on my behalf on the following motions. The following motions without any box (<input type="checkbox"/>) ticked will be deemed as an admission or consent indicated. 1. The company's 2022 business reports and financial statements <input type="checkbox"/> 1. Acknowledge <input type="checkbox"/> 2. Object <input type="checkbox"/> 3. Waive 2. The company's 2022 earnings distribution. <input type="checkbox"/> 1. Acknowledge <input type="checkbox"/> 2. Object <input type="checkbox"/> 3. Waive 3. Partial amendments to the Articles of Incorporation. <input type="checkbox"/> 1. Acknowledge <input type="checkbox"/> 2. Object <input type="checkbox"/> 3. Waive 4. The appointment of an independent director of the company through a by-election. <input type="checkbox"/> 1. Acknowledge <input type="checkbox"/> 2. Object <input type="checkbox"/> 3. Waive 5. Proposal for lifting competition restrictions on the Company's newly elected independent directors. <input type="checkbox"/> 1. Acknowledge <input type="checkbox"/> 2. Object <input type="checkbox"/> 3. Waive II. If the shareholders have not ticked any of the boxes (<input type="checkbox"/>) referred to above to indicate the scope of proxy or have more than one box (<input type="checkbox"/>) ticked, it shall be deemed as a discretionary proxy. The Agent for Stock Affairs Section commissioned may not be a discretionary proxy. The agents should exercise the rights of the shareholders in accordance with the scope of proxy referred to above (2). III. The shareholder's proxy may respond to any special motions raised during the meeting at the proxy's sole discretion. IV. Please mail your attendance pass to the proxy (or include the proxy in your attendance record). This Proxy Attendance Card stays valid even if the meeting is postponed (but limited to this session only). Best regards To: Yuanta Futures Co., Ltd. Date of authorization:	1. Prohibit the purchase of the proxy with cash paid or other benefits delivered. 2. For any illegal acquisition or use of the proxy identified, please report it to Taiwan Depository & Clearing Corp. with the specific supporting documents enclosed and a maximum reward of NT\$200,000 will be awarded once the offense is verified. Reporting hotline: (02) 25473733	Shareholder's account No.	Sign/Seal	
		Shares held		
		Name & Title		
		Solicitor		Sign/Seal
		Account number		
		Name & Title		
		Agent		Sign/Seal
		Account number		
		Name & Title		
		ID/Unified business number		
Address				

Signatures at places and people:

(697)	Yuanta Futures Co., Ltd. Specimen seal certificate card				Account No.		
Name of account holder							
ID No.							
Registered address						Seal	
Contact address							
Date of birth		TEL 1		TEL 2			
Foreign shareholder should specify the nationality/place of registration:							
Updated	Registered address					TEL	
	Contact address					TEL	

As required under Letter (89)-Tai-Tsai-Cheng-(III)-54166, the specimen seal certificate card of a minor shareholder shall be affixed with the registered specimen seal impressions of both his or her parents.

[Important notes for use of a specimen seal certificate card]

1. The personal information collected by the Agent For Stock Affairs Section is to be processed and utilized within the scope of stock affairs and will be duly archived within the time limit as set forth under the laws and ordinances or agreements which concern it/them. Please feel free to contact the Agent for Stock Affairs Section whenever you intend to exercise the shareholders' equity.
2. Please present your identity certificate, photocopies for both the front and reverse sides, one set, so as not to untowardly affect your shareholders' equity.
3. A specimen seal certificate card not accompanied by the identity certificate in photocopies is null and void.

(697)	Yuanta Futures Co., Ltd. Application for Remittance of Cash Dividend, Year 2023												
Shareholders' Account No.				Name of account holder									
Account for remittance previously registered													
Bank name	Bank code	Bank account (Branch, account name, account no., and security code) ***The NT\$10 remittance charge can be waived if the shareholder's bank account is opened under "Yuanta Bank"***											
Post Office (700)	Post office code (7 digits)			Account (7 digits)			Previously left registered specimen seal impression						

- I. The distribution of cash dividends will be based on the bank account number provided by the Taiwan Depository and Clearing Corporation on the ex-dividend record date. Unless a shareholder otherwise instructs the remittance account in writing, the bank account number submitted by the shareholder in the return slip will be used as the primary account (as last updated).
- II. In case of a shareholder who does not mail back his or her account number, the principal account provided by the Taiwan Depository and Clearing Corporation as of the ex-dividend record date (the latest update, including transaction, fundamental particulars...) shall be taken as the ground for remittance.
- III. If a dividend is remitted, the dividend shall be remitted only into the shareholders' own account numbers. Besides, the NT\$10 processing fee shall be charged on the date of payment.
- IV. Where the dividend is not paid through remittance, the Company will charge the processing fee and mail the balance through the addresses archived by the shareholders with a non-endorsable & non-negotiable cross-line check.

The shareholder meetings assisted by video conferencing will be adopted for this shareholders' meeting. The relevant details are as follows:

- I. Shareholders who plan to attend the meeting virtually must sign up for it and register such attendance on the TDCC e-Meeting Platform (Website: <https://www.stockvote.com.tw>) between April 24, 2023 and May 21, 2023. The said process can be completed by scanning the QR Code above with mobile devices. Online participants can start checking in 30 minutes prior to the shareholder meeting. Once shareholders complete the check-in process, they are deemed as having attended in person.
- II. Shareholders who attend shareholder meetings virtually can exercise their voting rights between the commencement of the meeting and the end of the voting time announced by the chairperson. Shareholders who cast late-arriving ballots after the end of the voting time will be deemed to have waived their voting rights. Shareholders are allowed to text questions; for each proposal, questions are limited to two; for each question, words are limited to 200 characters. Please visit the TDCC website for more information on the operating instructions of the e-Meeting Platform or scanning the QR Code (Website: <https://www.tdcc.com.tw/portal/zh/page/show/402897967d841dba017e8eea7fc5009c>) above with mobile devices. The Company will not be liable for any poor communications, broadcast lags, or any difficulties concerning watching a live stream, texting questions, and exercising voting rights if it is shareholders' internet connection or equipment that causes the problems. Shareholders who have concerns about such issues are encouraged to use e-voting prior to the meeting or attend the meeting in person.
- III. Managing the meeting's postponement or continuation due to natural calamities, mishaps or other *force majeure* events that interfere with the video conferencing platform or video participation:
 - (I) If there is an obstacle to the video conferencing platform or participation via video conferencing on the day of the shareholder meeting as a result of natural calamities, mishaps, or other *force majeure* events and the obstacle cannot be removed within 30 minutes, the company can schedule to postpone or continue the meeting on May 29, 2023 at 9:00 a.m. at the conference room of Chinese National Futures Association [Address: 13F., No. 27, Sec. 1, Anhe Rd., Da'an Dist., Taipei City 106646, Taiwan (R.O.C.)].
 - (II) Shareholders who have not registered their online attendance at the affected meeting will not be allowed to attend the postponed or reconvened meeting.
 - (III) In the event that the e-Meeting Platform fails or shareholders have difficulty attending virtually for over 30 minutes due to natural disasters, incidents, or other *force majeure* events, the Company should check the total shares of shareholders that have attended. If the total number of shares represented at the shareholder meeting after deduction of the number of shares represented by shareholders attending virtually still reaches the legal quorum for convening of the shareholders' meeting, the affected meeting will continue in session. The shares of shareholders, solicitors, or proxy agents who attend virtually will be counted towards the total number of shares represented by the shareholders attending the meeting. In addition, shareholders, solicitors, or proxy agents who attend virtually will be deemed to abstain from voting on all proposals on meeting agenda of that shareholder meeting.
 - (IV) If all motion results have been announced, but no extemporaneous motions have been made, the meeting may be adjourned if the company encounters one of the obstacles listed above.



e-Meeting Platform



e-Meeting Platform
Operating
instructions

This shareholder meeting is not open to non-shareholders who want to attend or sit in. To safeguard the right of all participants, shareholders attending virtually are not allowed to distribute or forward the live streaming URL. Videotaping the meeting through machines or screen video recorders is not allowed, either

Notes to the Proxy Attendance Card

- I. Shareholders who decide to attend the meeting in person cannot delegate part of their shares to others as their proxies. If both the Notice to the Shareholders Meeting and the Proxy Attendance Card are signed/stamped, that shareholder is considered to attend the meeting in person. **If the Proxy Attendance Card is handed over to a solicitor or an agent, the shareholder is considered to have delegated a proxy to attend the meeting on their behalf.**
- II. The principal or delegated proxy shall comply with the Regulations Governing the Use of Proxies for Attendance at Shareholder Meetings of Public Companies and Article 177 of The Company Act.
- III. The shareholder must use the Proxy Attendance Card provided by the Company. Each shareholder is entitled to one Proxy Attendance Card and may delegate one proxy only.
- IV. Before surrendering the Proxy Attendance Card to the solicitor, the shareholder should ask the solicitor to provide written documents or advertisements relating to the solicitation, or read through the solicitors' information which the Company has consolidated; therefore establishing a thorough understanding towards the solicitor, the candidates, and the solicitor's opinions with regard to the various motions raised during the meeting.
- V. If a proxy is not a shareholder, please fill the National ID No. or unified business number in the "Shareholder's account No." boxes.
- VI. If the solicitor is a trust or a share administration agency, please provide the unified business number in the "Shareholders' account No." box.
- VII. **Shareholders who wish to attend the shareholders' meeting in person, exercise their voting rights in writing or electronically, or participate in the shareholders' meeting via video should revoke the power of attorney in writing to the Company no later than two days prior to the shareholders' meeting; in the event of a late cancellation, the voting rights of the authorized proxy shall control.**

Yuanta Futures Co., Ltd.

Notice to regular meeting of shareholders 2023

- I. **The company has scheduled to convene its regular meeting of shareholders 2023 at The Conference Room of the Chinese National Futures Association (13F., No.27, Section 1, Anhe Rd., Da'an Dist., Taipei City) (The shareholders meeting admission time will start at 08:30 a.m. at the meeting venue) at 9 a.m., May 24, 2023 (Wednesday). The following is a**

summary of the meeting agenda: (I) Reporting matters : 1.The Company’s 2022 business report. 2.Audit Committee has the audit report for the Company’s 2022 business report, financial statements, and disposition of net earnings. 3. The 2022 Employees’ bonus remuneration. (II) Acknowledgments: 1. The Company's 2022 Business Report and Financial Statements. 2. the Company's 2022 Earnings Distribution. (III) Discussions: Partial amendments to the “Articles of Incorporation”. (IV) Elections: The by-elections of one seat of independent directors of the Company.(V) Other items: Proposal for lifting competition restrictions on the Company’s newly elected independent directors. (VI) Extempore Motion.

II. Dividends: Cash dividend NT\$724,940,720, NT\$2.5/share.

III. At this shareholders’ meeting, one independent director seat will be up for election.

IV. List of candidates for the independent directors.

An-Pin Chen

Visit the announcement section of the Market Observation Post System (MOPS) at website: <https://mops.twse.com.tw> in order to search for candidates’ education, experience, and other relevant information.

V. In accordance with the provision in Article 209, request permission to lift the restrictions on the non-competition of the newly elected independent directors. Please consult the Handbook for the Annual Meeting of Shareholders for information on directors’ duty of non-competition.

VI. Please find enclosed herewith Attendance Card and Power of Attorney for Proxy. For those who wish to attend the present Shareholders Meeting in person, please sign/affix seal on the “Attendance Card” and submit it to the Shareholders Meeting. **For those who wish to arrange a proxy to participate in the Shareholders Meeting, please sign/seal on the Proxy Attendance Card and write down in person the name and address of the proxy attendee**, and mail/deliver to the company’s share administration agent, i.e., Agent for Stock Affairs Section of Yuanta Securities Co., Ltd. (BIF., No.210, Section 3, Chengde Rd., Datong Dist., Taipei City 103432) at least five (5) days before the date scheduled for the meeting. An Attendance Card will then be issued for the proxy. For enrollment and registration processing, the entrusted proxy must submit the “Intent Letter for Entrusted Proxy to Register for Participation in Shareholders’ Meetings Via Video Conferencing” to the company’s stock affairs agent (the Stock Affairs Department of Yuanta Securities Co.) by May 21, 2023, 4:00 p.m. As it falls on a holiday, the May 19th, the deadline should be moved to the previous business day.

VII. **The proxies shall be checked and verified by Agent for Stock Affairs Section of Yuanta Securities Co., Ltd.**

VIII. **For any shareholders who openly solicit Proxy Attendance Cards, the Company will consolidate the solicitors’ information and disclose them on the Securities & Futures Institute website on April 21, 2023. For an investor to inquire, please enter the address: <http://free.sfi.org.tw>, “Proxy Form Acquisition Related Inquiry System”, click “Enter the Information of Public Announcement for Proxy Forms here” and input the terms of inquiry.**

IX. **When items specified in Article 172 of the Company Act contain in the main content of this general meeting of shareholders, apart from listing them in the notice to meeting, please visit the Market Observation Post System (<http://mops.twse.com.tw>) and select “e-Book/Annual Report & Meeting of Shareholders (with DR info)/Meeting Proposal References” for details.**

X. **The shareholders may have their votes casted in an electronic form for the current shareholders’ meeting from April 24 – May 21, 2023. Please log in the “Shareholders e-voting” shareholders’ meeting voting platform of Taiwan Depository & Clearing Corporation in accordance with the related operating instruction. (<https://www.stockvote.com.tw>)**

XI. **If the venue of the regular meeting of shareholders is changed due to COVID-19, the information will be posted on the Market Observation Post System as important news.**

Best regards

Attn.: All shareholders

The Board of Directors of Yuanta Futures Co., Ltd. [Affixed with the official seal of Yuanta Futures Co., Ltd.]